

A Pilot Clinical Trial of Transcranial and Intranasal Photobiomodulation for Cognitive and Behavioral Function, Cerebral Perfusion, and Resting State Functional Connectivity Linda L. Chao, Ph.D.

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INTRODUCTION

- Photobiomodulation (PBM) describes the use of radiant light energy to modify biological functions or to induce a therapeutic effect in a non-thermal manner.¹
- PBM can be delivered transcranially to target the brain parenchyma in humans because near infrared (NIR) wavelengths of light $(\lambda = 750-950 \text{ nm})$ can penetrate the scalp and skull to reach a depth of 40-50 mm in the brain.²
- A case series report³ published last year described improved cognitive function in 5 patients with dementia after 12 weeks of transcranial and intranasal PBM treatments
- This study sought to **replicate** and **expand** upon the findings of Saltmarche et al.³ by investigating the effects of 12 weeks of home transcranial and intranasal **PBM treatments** on 1) cognitive function, 2) behavioral symptoms, 3) cerebral perfusion, and 4) resting state functional connectivity in 8 patients with dementia.

METHODS

- Eight older adults who had been diagnosed with dementia by their physicians participated in the study (see table below for baseline characteristics)
- Half the participants were assigned to 12 weeks of PBM treatments while half were assigned to 12 weeks of Usual Care (UC).

Baseline Characteristics of Study Participants

	PMB (n=4)	UC (n=4)	<i>t-</i> value ^a	<i>p</i> - value
No. female (%)	3 (75%)	2 (50%)		1.00 ^b
Age, years	80.5 (6.5)	79.0 (5.9)	0.34	0.74
Education, years	18.5 (1.9)	18.0 (1.6)	0.40	0.71
Race, White	4 (100%)	4 (100%)		1.00 ^b
Baseline MMSE	19.5 (7.0)	22.3 (1.3)	0.77	0.47
Baseline ADAS-cog	37.5 (11.0)	32.1 (0.7)	0.98	0.40
Baseline NPI	34.0 (23.5)	10.5 (3.7)	2.09	0.08

adf = 6

^b*p*-value from Fisher's Exact Test

MMSE: Mini-Mental State Examination;⁴ ADAS-Cog: Alzheimer's Disease Assessment Scale, Cognitive Subtest;⁵ NPI: Neuropsychiatry Inventory⁶

PBM treatments were administered by the participants' study partners (i.e., family members) at home with a commercially available PBM device (Vielight Neuro Gamma, below) every other day for 12 weeks.



METHODS (cont.)

- Behavioral outcome measures were assessed at baseline, 6-weeks, and 12-weeks.
- Cognitive function was assessed with the **Alzheimer's Disease Assessment Scale – Cognitive Subscale (ADAS-cog)**.⁵ Scored according to the number of errors that the participant makes, a **lower ADAS-cog** score reflects **better cognitive function**.
- Dementia-related behaviors were assessed with the Neuropsychiatry **Inventory**⁶ (NPI), an information-based questionnaire. Derived by multiplying frequency X severity scores, a **lower NPI** summary **score** reflects fewer/less severe dementia-related symptoms.
- The behavioral data were analyzed with a repeated measures analysis of variance (ANOVA), with time as the within-subject factor and group as the between-subject factor.
- Neuroimaging outcome measures were assessed at **baseline** and **12**weeks on a Siemens 3 Tesla Trio Scanner.
- Arterial spin-labeled (ASL) perfusion MRI⁷ (see schematic below) was used to quantify cerebral blood flow (CBF).



- Anatomical regions of interest (ROIs), generated using FreeSurfer version 5.1, were used to analyze the CBF data.
- The superior frontal, superior parietal, and supramarginal parcels corresponded to brain regions targeted by the Vielight Neuro Gamma's transcranial LEDs (Light-Emitting Diodes, see below).
- CBF from the precentral parcel (i.e., primary motor cortex) was used as a control region in the analysis.
- A repeated measures multivariate analysis of variance (MANOVA) was used to analyze the CBF data, with time and ROI as withinsubject factors and group as the between-subject factor.



Approximate position of the Vielight Neuro Gamma transcranial LEDs relative to the FreeSurfer ROIs used in analysis of ASL perfusion data.

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- **Resting state functional MRI** was used to assess **functional connectivity** (i.e., the temporal correlation between spatially distinct brain regions) in the **Default Mode Network (DMN)**.
- The DMN is an interconnected group of brain structures that show higher levels of activity when we are awake but not engaged in any specific mental task and lower levels of activity when we are engaged a specific task (e.g., paying attention).⁸



Nodes of DMN:

- Precuneus/Posterior Cingulate Cortex (PCC)
- Medial Prefrontal Cortex (MPFC)
- Lateral Parietal Cortex (LP)
- ot shown: medial temporal/hippocampus
- There is evidence that the DMN is dysregulated in Alzheimer's disease (AD).⁹



This is why the LEDs of the Vielight Neuro Gamma device were designed to target nodes of the DMN:



ADAS-cog and NPI scores improved in the PBM group, but declined in the UC group, after 12 weeks (positive change from baseline = improvement in figure below).



There was a trend towards improvement on the ADAS-cog in 3 UC participants who chose to undergo 12 weeks of PBM after completing 12 weeks of Usual Care.















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• In contrast, there was decreased connectivity in the DMN in the UC group after 12 weeks.



Week 12



DMN connectivity (to a PCC seed) at baseline vs. Week 12 in the UC group

Connectivity between a seed in the PCC and Lateral Parietal (LP) nodes of the DMN by group and time

	PBM Group				<u>UC Group</u>			
	Baseline		12-weeks		Baseline		12-weeks	
	Т(З)	$P_{\sf unc}$	T(3)	P _{unc}	T(3)	P _{unc}	T(3)	P _{unc}
LLP	4.25	0.02	14.15	<0.001	6.88	0.006	3.89	0.03
RLP	3.98	0.03	11.17	0.002	8.34	0.004	5.04	0.02

CONCLUSIONS

- These behavioral results reaffirm Saltmarche et al.³ findings that transcranial and intranasal PBM benefits cognitive function in patients with dementia.
- The preliminary findings of increased CBF and DMN functional connectivity support the potential of PBM as a safe, non-pharmacological intervention that can be used to treat patients with dementia in their homes.
- These results suggest that future, larger controlled trials of home PBM treatments for dementia are warranted.

References

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